

**CERTIFICATE OF ELECTRONIC FILING**

I hereby certify that this correspondence is being filed electronically with the U.S. Patent and Trademark Office on the below date:

Date: July 6, 2009

Name: Scott A. Timmerman (Reg. No. 55,678)

Signature: /Scott A. Timmerman/

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln of: James Farrell et al.

U.S. Patent Application Serial  
No.: 10/713,126

U.S. Filing  
Date: November 14, 2003

For: SYSTEM AND METHOD FOR CONTROLLING  
MARKETS DURING A STOP LOSS TRIGGER

Attorney Docket No: 4672/310

Examiner: Weis, Samuel

Group Art Unit: 3695

Confirmation No.: 9585

**TRANSMITTAL**

Mail Stop: Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

**Attached is/are:**

Amendment and Remarks

**Fee calculation:**

- No additional fee is required.  
 Small Entity.  
 An extension fee in an amount of \$\_\_\_\_ for a \_\_\_\_ -month extension of time under 37 CFR § 1.136(a).  
 A petition or processing fee in an amount of \$\_\_\_\_ under 37 CFR § 1.17(e).  
 An additional filing fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Small Entity		Not a Small Entity		
					Rate	Add'l Fee	OR	Rate	Add'l Fee
Total		Minus			x \$26=		x \$52=		
Indep.		Minus			x \$110=		x \$220=		
First Presentation of Multiple Dep. Claim					+\$195=		+\$390=		
					Total	\$	Total	\$	

**Fee payment:**

- Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_ for fees listed above.  
 Payment by credit card in the amount of \$\_\_\_\_ (Form PTO-2038 is attached).  
 The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

July 6, 2009  
Date

/Scott A. Timmerman/  
Scott A. Timmerman (Reg. No. 55,678)